PTO-1390 (Rev. 07-2005)
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| TRANSMITTAL LETTER TO THE UNITED STATES   | ATTORNEY'S DOCKET NUMBER  |  |  |  |  |
|---|---|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  | 613132000200<br>U.S. APPLICATION OF (Eknown, see 37 CFR 1.5)  |  |  |  |  |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   | Note Assigned   |  |  |  |  |
| INTERNATIONAL APPLICATION NO. PCT/CN2004/001411 INTERNATIONAL FILING DATE 3 December 2004   | PRIORITY DATE CLAIMED 5 December 2003   |  |  |  |  |
| TITLE OF INVENTION BUTYLPHTUALIDE SOFT GEL CAPSULE AND ITS PREPERATION PR   | OCEDIBE   |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   | OCEDURE   |  |  |  |  |
| Jianqing LI et al.  |   |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EC  |   |  |  |  |  |
| 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |   |  |  |  |  |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |
| 4. x The US has been elected (Article 31).  |   |  |  |  |  |
| 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  |   |  |  |  |  |
| a is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |
| b. x has been communicated by the International Bureau.   |   |  |  |  |  |
| c. is not required, as the application was filed in the United States Rece  | eiving Office (RO/US).  |  |  |  |  |
| 6. x An English language translation of the International Application as filed (  | 35 U.S.C. 371(c)(2)).   |  |  |  |  |
| a. x is attached hereto. (13 pages)   |   |  |  |  |  |
| b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Art  | ticle 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |
| a. are attached hereto (required only if not communicated by the Intern   | ational Bureau).  |  |  |  |  |
| b. have been communicated by the International Bureau.  |   |  |  |  |  |
| c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |
| d. have not been made and will not be made.   |   |  |  |  |  |
| An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |  |  |  |  |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |  |  |  |  |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |   |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:   |   |  |  |  |  |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |
| 12. An assignment document for recording. A separate cover sheet in comp  | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |
| 13. x A preliminary amendment. (5 pages)  | x A preliminary amendment. (5 pages)  |  |  |  |  |
| x An Application Data Sheet under 37 CFR 1.76. (3 pages)  |   |  |  |  |  |
| 15. A substitute specification.   |   |  |  |  |  |
| 16. A power of attorney and/or change of address letter.  |   |  |  |  |  |
| A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.  |   |  |  |  |  |
| 8. x A second copy of the published International Application under 35 U.S.C. 154(d)(4). – with a copy of the international search report (16 pages)              |   |  |  |  |  |
| 19. A second copy of the English language translation of the international  | application under 35 U.S.C. 154(d)(4).  |  |  |  |  |
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## 'AP9 Rec'd PCT/PTO 02 JUN 2006'

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| U.S. APPLICATION D. (Argun, as 51 CF71.57 0 INTERNATIONAL APPLICATION NO. PCT/CN2004/001411 613132000200   |                 |                             |   |                     |                      |         |             |  |
|--|-----------------|-----------------------------|---|---------------------|----------------------|---------|-------------|--|
| 20. x Other items or information: Return Receipt Postcard  |                 |                             |   |                     |                      |         |             |  |
|  |                 |                             |   |                     |                      |         |             |  |
| The foll   | owing fees have | e been submitte             | ed  |                     | CALCUL               | ATIONS  | PTO USEONLY |  |
| 21. x Basic national fee (37 CFR 1.492(a)) \$300   |                 |                             |   |                     | \$                   | 300.0   | D           |  |
| 22. x Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)  |                 |                             |   |                     |                      | 200.0   | 0           |  |
| 23. x Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. \$400  All other situations \$500 |                 |                             |   |                     | \$ 400.00            |         |             |  |
|  | TOTAL OF 21, 22 | and 23 =                    |   |                     | \$                   | 900.0   | 0           |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |                 |                             |   |                     |                      |         |             |  |
| Total Sheets   | Extra Sheets    |                             | additional 50 or fraction up to a whole number) | RATE                |                      |         |             |  |
| 11 -100=   | /50 =           |                             |   | x \$250.00          | \$                   | \$ 0.00 |             |  |
|  |                 |                             | e, examination fee, or the (37 CFR 1.492(h)).   | oath or declaration | \$                   | \$ 0.00 |             |  |
| CLAIMS   |                 | BER FILED NUMBER EXTRA RATE |   |                     |                      |         |             |  |
| Total clair<br>Independent   |                 | 1 - 20 =<br>1 - 3 =         | 0   | X                   | 0.00                 |         |             |  |
|  | ENDENT CLAIM(S  |                             | 0   | +                   |                      | 0.0     | <u> </u>    |  |
| MOETH EE DEI   | ENDERN OBAIMO   | , (ii applicable)           | TOTAL OF A                                      | BOVE CALCULATIONS = | \$ 900.00            |         |             |  |
| X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |                 |                             |   |                     |                      |         |             |  |
| SUBTOTAL =   |                 |                             |   | \$ 450.00           |                      | 0       |             |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |                 |                             |   | \$                  |                      |         |             |  |
| TOTAL NATIONAL FEE =   |                 |                             |   | \$ 450.00           |                      |         |             |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |                 |                             |   | \$ 0.00             |                      |         |             |  |
| TOTAL FEES ENCLOSED =  |                 |                             |   | \$ 450.00           |                      |         |             |  |
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## 10/581370 'AP9 Rec'd PCT/PTO 02 JUN 2006

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| a. A check in the amount of \$  | to sover the characteristic configuration  |  |  |  |  |
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|   | to cover the above fees is enclosed.  3-1952 in the amount of \$ 450.00 to cover the above fees. |  |  |  |  |
| A duplicate copy of this sheet is enclosed.   |  |  |  |  |  |
| C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1952 . A duplicate copy of this sheet is enclosed.  |  |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038   |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.   |  |  |  |  |  |
|   | $\bigcirc$   |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:   | and Mitter   |  |  |  |  |
| CENTRALE CONNECTION CASENCE TO:   | SIGNATURE  |  |  |  |  |
| James J. Mullen III, Ph.D.<br>Morrison & Foerster LLP   | James J. Mullen III, Ph.D.   |  |  |  |  |
| 12531 High Bluff Drive, Suite 100<br>San Diego, California 92130  | NAME   |  |  |  |  |
| Jan Diego, Gainornia 32 130   | 44.057   |  |  |  |  |
| CUSTOMER NUMBER: 25225  | 44,957 REGISTRATION NUMBER   |  |  |  |  |
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  Not Yet Assigned   | INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/CN2004/001411 613132000200            |  |  |  |  |
|   |  |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 847894135 US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. |  |  |  |  |  |
| Dated: June 2, 2006   | Signature: (Grace Yu)  |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·   | <u> </u>   |  |  |  |  |